QUARTERLY FRAUD REPORT – RECIPIENT FRAUD INVESTIGATIONS

PURPOSE

This report is intended to gather information for *federal reporting purposes* for a specific quarterly reporting period. It should only identify action that occurred during the reporting period. It should reflect the activity of cases in which there is suspicion of an act of intentional program violation (IPV). The report is not self-checking – the entries in each item must be determined independently of the other item entries. For assistance with completion of the report, please contact Judy Iemma at (518) 402-0118 or Judy.Iemma@otda.ny.gov.

INSTRUCTIONS

The report has been divided into the following categories of assistance: TANF (Temporary Assistance to Needy Families/Family Assistance), SNA (Safety Net Assistance), and SNAP (Supplemental Nutrition Assistance Program). Record in each column only the number or dollar amount that pertains to that assistance category; however, one investigation can encompass multiple categories. For example, if an investigation for a case with both TANF and SNAP components was completed and resulted in a referral for prosecution, it would be recorded in both the TANF and SNAP columns for that item even though it is technically a single case. The dollar values for Program Dollars must also be calculated and recorded separately for the cash and SNAP components of the case. If an item does not apply to your county for the reporting period, enter a zero for that item.

(1)   Cases Referred for Investigation – Enter the number of cases in each assistance category that were referred for investigation during the reporting period in which there was suspicion of an act of IPV. This includes cases that were referred prior to an initial application eligibility determination where the investigation was not completed before the eligibility determination, and all other cases referred for investigation during the reporting period.

(2a)   Investigations Completed: Negative – Enter the number of cases in each assistance category for which an investigation was completed before the end of the reporting period and no indication of a program violation was found. If a completed investigation has not resulted in a referral for an ADH or for Prosecution, it must be recorded in this item regardless of any evidence of fraud or abuse.

(2b)   Investigations Completed: Positive – Enter the number of cases in each assistance category for which an investigation was completed before the end of the reporting period *and referred* for an Administrative Disqualification Hearing (ADH) or for Prosecution.

(2c)   Referred for Prosecution – Enter the number of cases in each assistance category referred for prosecution during the reporting period.

(2c.I) Program Dollars – Enter the potential overpayment amount for each assistance category that may be recovered if the suspected program violation is substantiated by the courts. If there is no overpayment associated with the program violation, use $0 for the dollar amount for that case.

(2d)   Cases Pursued – Enter the number of cases in each assistance category referred for prosecution which were then accepted by the prosecutor during the reporting period.

(2e)   Prosecution: Convicted – Enter the number of cases in each assistance category found guilty of committing an IPV by the courts during the reporting period.

(2e.I) Program Dollars – Enter the repayment amount for each assistance category determined by the court decision.

(2f)   Prosecution: Acquitted – Enter the number of cases in each assistance category found not guilty of committing an IPV by the courts during the reporting period.

(2g)   Referred for ADH – Enter the number of cases in each assistance category referred for an ADH during the reporting period.

(2g.I) Program Dollars – Enter the potential overpayment amount for each assistance category that may be recovered if the suspected program violation is substantiated by the ADH. If there is no overpayment associated with the program violation, use $0 for the dollar amount for that case.

(2h)   ADH: Upheld – Enter the number of cases in each assistance category for which the hearing officer upheld the agency's suspicion of an IPV during the reporting period.

(2h.I) Program Dollars – Enter the repayment amount for each assistance category determined by the hearing decision.

(3)   Investigations Pending – Enter the number of cases in each assistance category referred for investigation for which:
- an initiated investigation has not been completed before the end of the reporting period; or
- an investigation has not been initiated before the end of the reporting period.

(4)   Investigations Canceled – Enter the number of cases in each assistance category for which an investigation has been initiated but then canceled before the end of the reporting period.